

Ref. No. :

Date :

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST MARCH, 2023
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Prema Bajaj Compliance Officer of Bampsl Securities Limited (as decided by the Company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations)** and I certify that

1. the Company has no Structured Digital Database in place
2. No control exists as to who can access the SDD
3. Not all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has no captured nature of UPSI along with date and time
5. the database has been maintained internally and no audit trail is maintained
6. the database is tamperable and has no capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 0 number of events during the quarter ended and has captured 0 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: -

For Bampsl Securities Limited

Compliance Officer as decided by the Company

Date: 14-04-2023

Place : New Delhi

