

**Secretarial compliance report of Bampsl Securities Limited  
for the year ended 31<sup>st</sup> March, 2026**

To,  
The Board of Directors  
Bampsl Securities Limited

I have examined:

- (a) all the documents and records made available to us and explanation provided by Bampsl Securities Limited (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended **31<sup>st</sup> March, 2026** (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 and the regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956, rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (‘SEBI LODR’);
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (**Not applicable to the listed entity during the Review Period**);
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (**Not applicable to the listed entity during the Review Period**);
- (d) Securities and Exchange Board of India (Buy-Back of Securities) Regulations, 2018 (**Not applicable to the listed entity during the Review Period**);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (**Not applicable to the listed entity during the Review Period**);

**RITIKA A & ASSOCIATES**  
**(COMPANY SECRETARIES)**

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- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 **(Not applicable to the listed entity during the Review Period)**;
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder.

Sr. No.	Compliance requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action taken by	Type of action	Details of violation	Fine amount	Observations/ remarks of the PCS	Management response	Remarks
N.A.										

- (b) The listed entity has taken the following actions to comply with the observations made in the previous reports: Not Applicable.

Sr. No.	Observations/ remarks of the Practising Company Secretary in the previous report.	Observations made in the Secretarial Compliance Report for the year ended 31/03/2026.	Compliance requirement (Regulations/ circulars/ guidelines including specific clause)	Details of violation/ deviations and actions taken/ penalty imposed, if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
-Please refer to Annexure- I-						

**RITIKA A & ASSOCIATES**  
**(COMPANY SECRETARIES)**

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(c) In respect of following matters, the listed entity, during the review period, has complied with as specified below:

<b>Sr. No.</b>	<b>Particulars</b>	<b>Compliance Status (Yes/No/Not Applicable)</b>	<b>Observations / Remarks by Practicing Company Secretary</b>
1.	<u>Secretarial Standards:</u>  The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) with respect to Meetings of the Board of Directors (SS-1) and General Meetings (SS-2) issued by The Institute of Company Secretaries of India (ICSI).	Yes	-
2.	<u>Adoption and timely updation of the Policies:</u> <ul style="list-style-type: none"><li>• All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entity; and</li><li>• All the policies are in conformity with SEBI Regulations and have been reviewed and updated in time as per the regulations/circulars/ guidelines issued by SEBI.</li></ul>	Yes	As per the Information given by management
3.	<u>Maintenance and disclosures on Website:</u> <ul style="list-style-type: none"><li>• The listed entity is maintaining a functional website;</li><li>• Timely dissemination of the documents/ information under a separate section on the website; and</li></ul>	Yes  No	The Company has not updated the disclosure on the website which is required to be updated within 2 working days.

**RITIKA A & ASSOCIATES**  
(COMPANY SECRETRIES)

	<ul style="list-style-type: none"> <li>Web-links provided in annual corporate governance report under regulation 27(2) of the SEBI LODR are accurate and specific which re-direct to the relevant document(s)/ section of the website.</li> </ul>	Yes	
4.	<u>Disqualification of director:</u>  None of the directors of the listed entity is disqualified under section 164 of Companies Act, 2013.	Yes	-
5.	<u>Details related to subsidiaries of listed entity have been examined with respect to:</u>  a) Identification of material subsidiary companies; and b) Requirements with respect to disclosure of material as well as other subsidiaries.	N.A.	-
6.	<u>Preservation of documents:</u>  The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of documents and Archival policy prescribed under the SEBI LODR.	Yes	As per the Information given by management
7.	<u>Performance Evaluation:</u>  The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of the financial year as prescribed in SEBI Regulations.	Yes	As per the Information given by management
8.	<u>Related Party Transactions:</u>  a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions; and b) In case where no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee.	Yes  Not Applicable	No such instances observed
9.	<u>Disclosure of events or information:</u>		

**RITIKA A & ASSOCIATES**  
**(COMPANY SECRETARIES)**

	The listed entity has provided all the required disclosure(s) under Regulation 30 read with Schedule III to the SEBI LODR within the time limits prescribed thereunder.	Yes	-
10.	<u>Prohibition of Insider Trading:</u>  The listed entity is in compliance with Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	-
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u>  No Action has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.	Not Applicable	
12.	<u>Resignation of statutory auditors from the listed entity or its material subsidiaries:</u>  In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 for compliance with the provisions of the SEBI LODR by listed entities.	Not Applicable	No such instance Occurred
13.	<u>Additional Non-compliances, if any:</u>  No additional non-compliance observed for any SEBI regulations/circulars/guidance notes, etc..	no	-

I further, report that the listed entity is in compliance with the disclosure requirements of Employee Benefit Scheme Documents in terms of regulation 46(2)(za) of the SEBI LODR.

**Assumptions and limitation of scope and review:**

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.

# **RITIKA A & ASSOCIATES**

## **(COMPANY SECRETARIES)**

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2. My responsibility is to report based upon my examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. I have not verified the correctness and appropriateness of financial records and books of accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI LODR and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

### **For Ritika A & Associates Practicing Company Secretaries**

RITIKA  
AGARWAL

Digitally signed by  
RITIKA AGARWAL  
Date: 2026.05.15  
13:59:49 +05'30'

**Ritika Agarwal**  
ACS 62717 CP 24410  
UDIN: **A062717H000371256**

Place: Kolkata

Dated: 15<sup>th</sup>, May, 2026

# **RITIKA A & ASSOCIATES**

## **(COMPANY SECRETRIES)**

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### ANNEXURE – I

<b>Sr. No.</b>	<b>Compliance Requirement (Regulations/ guidelines including specific clause)</b>	<b>Deviations</b>	<b>Observations/ Remarks of the previous year Practicing Company Secretary</b>	<b>Remedial actions, if any, taken by the listed entity</b>
1	Regulation 46 of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015	The Company has not updated the disclosure on the website which is required to be updated within 2 working days.	The Company is required to update the website and add the necessary disclosures.	Management is in the process of ensuring compliance with the applicable provisions in accordance with the relevant legal and regulatory framework